

JC04 RSC PCT/PTO 27 OCT 2005  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Masahiro Tatsuzawa et al.

Serial No.: 10/520,321

Filed: December 29, 2004

For: GREEN PIGMENT FOR COLOR FILTER,  
GREEN PIGMENT DISPERSION,  
PHOTOSENSITIVE COLOR COMPOSITION,  
COLOR FILTER AND LIQUID CRYSTAL  
PANEL

Seyfarth Shaw Docket No.: 37569-408400

CUSTOMER NO. 27717

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following documents pertaining to the above-referenced application:

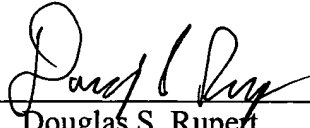
- \* Transmittal Letter
- \* Fee Transmittal (in duplicate)
- \* Petition for Three-Month Extension of Time
- \* Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/ Elected Office (DO/EO/US)
- \* Statement of Accuracy of Translation
- \* English translation of patent application including 120 pages of specification, claims and Abstract as filed on 12/29/2004
- \* English translation of six sheets of formal patent drawings (Figs. 1-7) as filed on 12/29/04
- \* Executed Declaration
- \* Preliminary Amendment
- \* Assignment, Power of Attorney, Assignment cover sheet and check for \$40 (recordal fee)
- \* Check for \$2,180 (three-month extension fee; Non-English Specification surcharge late Declaration surcharge; extra claim fee)
- \* Certificate of Mailing
- \* Return Postcard

The PTO did not receive the following  
listed item(s) NO \$40 check

The Commissioner is hereby authorized to charge any necessary fees to Deposit Account 19-1351. In the event of non-payment or improper payment of a required fee, the Commissioner is hereby authorized to charge or credit Deposit Account No. 19-1351 as required to correct the error.

Respectfully submitted,

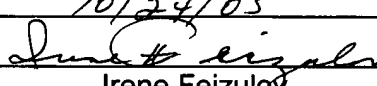
Date: 10/24/05

By:   
Douglas S. Rupert  
Attorney for Applicant  
Reg. No. 44,434

SEYFARTH SHAW LLP  
55 E. Monroe Street, Suite 4200  
Chicago, Illinois 60603  
(312) 346-8000

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 10/24/05  
  
Irene Feizulov

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**for FY 2005****Complete If Known**☐ Applicant claims small entity status. See 37 CFR 1.27

Application Number	10/520,321
Filing Date	December 29, 2004
First Named Inventor	Masahiro Tatsuzawa
Examiner Name	
Art Unit	
Attorney Docket No.	37569-408400

TOTAL AMOUNT OF PAYMENT (\$2,180.00)

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 19-1351 Deposit Account Name: SEYFARTH SHAW LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
46	- 20 or HP = 18	x 50 = 900.00
HP = highest number of total claims paid for, if greater than 20		
Indep. Claims	Extra Claims	Fee (\$)
3	- 3 or HP = 0	x =
HP = highest number of independent claims paid for, if greater than 3		
11/01/2005 LLANDGRA 00000047 10520321		
02 FC:1617 130.00 OP		
03 FC:1618 130.00 OP		
04 FC:1615 900.00 OP		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50 =	(round up to a whole number) x	=	

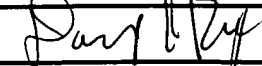
**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Three-Month Extension Fee; Late Declaration Surcharge

130.00
\$1,150.00

**SUBMITTED BY**

Signature		Registration No. 44,434 (Attorney/Agent)	Telephone 312-346-8000
Name (Print/Type)	Douglas S. Rupert	Date	10/29/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional)  
37569-408400In re Application of  
Masahiro Tatsuzawa et al.Application Number  
10/520,321Filed  
December 29, 2004For  
Green Pigment for Color Filet, Green Pigment Dispersion,...

Group Art Unit

Examiner

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- |  |             |
|--|-------------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1))               | \$ _____    |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))              | \$ _____    |
| <input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ 1,020.00 |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))             | \$ _____    |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))             | \$ _____    |

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_.

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 19-1351.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

10/24/05  
Date

Doug S. Rupert  
Signature

11/01/2005 LLANDGRA 00000047 10520321

01 FC:1253

1020.00 OP

Doug S. Rupert, Reg. No. 44,434

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.